

11-28-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: David Johnson

SERIAL NUMBER: 10/601,076

FILED: 06/19/2003

FOR: Notch-Free Etching of High Aspect SOI Structures
Using Alternating Deposition and Etching and
Pulsed Plasma

ATTORNEY DOCKET NO.: 074361.00016

U.S. Patent and Trademark Office
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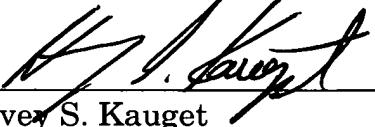
As listed on the attached Form PTO-1449, Applicant submits herewith copies of the patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Respectfully submitted,

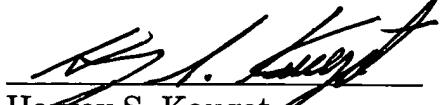
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CERTIFICATE OF MAILING

I HEREBY CERTIFY that the foregoing was placed in an envelope and mailed via Express Mail, postage paid, to the U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop DD, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202, on this the 21st day of November, 2003.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account Number 50-1667.


Harvey S. Kauget

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 2

Complete if Known

Application Number	10/601,076
Filing Date	06/19/03
First Named Inventor	David Johnson
Art Unit	1763
Examiner Name	

Attorney Docket Number 074361.00016

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,501,893	03-26-1996	Laermer et al.	
		US- 6,187,685	02-13-2001	Hopkins et al.	
		US- 4,985,114	01-15-1991	Okudaira et al.	
		US- 5,468,341	11-21-1995	Samukawa	
		US- 6,372,654	04-16-2002	Tokashiki	
		US- 5,683,538	11-04-1997	O'Neill et al.	
		US- 4,500,563	02-19-1985	Ellenberger et al.	
		US- 5,435,886	07-25-1995	Fujiwara et al.	
		US- 5,770,097	06-23-1998	O'Neill et al.	
		US- 6,214,162	04-10-2001	Koshimizu	
		US- 6,255,221	07-03-2001	Hudson et al.	
		US- 6,319,355	11-20-2001	Holland	
		US- 6,471,821	10-29-2002	Ogino et al.	
		US- 6,332,425	12-25-2001	Kofuji et al.	
		US- 6,231,777	05-15-2001	Kofuji et al.	
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		US- 6,395,641	05-28-2002	Savas	
		US- 2002/0153101	10-24-2002	Nguyen et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 2000294540	10-20-2000	NEC Corp.		
		JP11219938	10-08-1999	Matsushita Elec		
		JP06061182	03-04-1994	Mitsubishi Elec		
		JP11026433	01-29-1999	Matsushita Elec		
		JP11102895	04-13-1999	Fujitsu Ltd		

Examiner Signature		Date Considered	
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Sheet 2

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